

# 3 EAU 21730/a



PATENTS  
Attorney Docket No. UV/189

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Michael D. Ellis and David M. Berezowski  
Application No.: 09/821,005 Confirmation No.: 8533  
Filed : March 29, 2001  
For : SYSTEMS AND METHODS FOR REDUCING  
CUT-OFFS IN PROGRAM RECORDING  
Group Art Unit : 2173  
Examiner : Not Yet Assigned

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Group 2100

New York, New York 10020  
November 30, 2001

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

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TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure  
Statement in the above-identified application. This Statement is  
submitted:

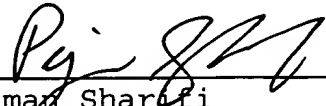
- [ ] within three months of the application filing date;
- [X] more than three months from the application filing  
date but before the mailing date of the first  
Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this  
Statement requires no fee. However, if for any reason a fee is  
due, the Director is hereby authorized to charge payment of any

fees required in connection with this Information Disclosure  
Statement to Deposit Account No. 06-1075.

A duplicate copy of this letter is transmitted  
herewith.

Respectfully submitted,

  
\_\_\_\_\_  
Pejman Sharifi  
Registration No. 45,097  
Attorney for Applicants  
FISH & NEAVE  
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1251 Avenue of the Americas  
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November 30, 2001  
Lillian Garcia

  
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Signature of Person Signing



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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patents

Knudson et al.	6,275,648	(08/14/01)
Marsh et al.	6,208,799	(03/27/01)
Knudson et al.	6,141,488	(10/31/00)
Lawler et al.	5,805,763	(09/08/98)
Roop et al.	5,619,274	(04/08/97)
Levine	5,568,272	(10/22/96)

Sakuma et al.	5,416,508	(05/16/95)
Young et al.	5,353,121	(10/04/94)
Kawasaki	5,323,234	(06/21/94)
Vogel	5,253,066	(10/12/93)
Yee et al.	5,210,611	(05/11/93)
Inoue	5,195,134	(03/16/93)
Young	5,151,789	(09/29/92)
Strubbe et al.	5,047,867	(09/10/91)
Hallenbeck	5,038,211	(08/06/91)
Young	4,977,455	(12/11/90)
Kinghorn	4,908,707	(03/13/90)
Young	4,706,121	(11/10/87)

#### Foreign Patent Documents

PCT	WO 93/23957	(11/25/93)
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These references are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

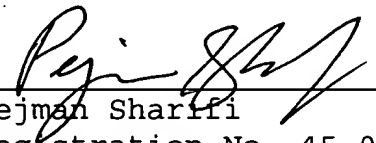
Applicants also wish to bring to the Examiner's attention the following unpublished U.S. patent applications, which are listed by applicant, application number, and filing date, respectively:

#### U.S. Patent Applications

Knudsen et al.	09/654,856	(09/05/00)
Knudsen at al.	09/654,982	(09/05/00)

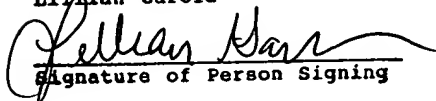
Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,

  
\_\_\_\_\_  
Pejman Sharifi  
Registration No. 45,097  
Attorney for Applicants  
FISH & NEAVE  
Customer No. 1473  
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New York, New York 10020-1104  
Tel: (212) 596-9000

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Lillian Garcia

  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
UV/189

SERIAL NO.  
09/821,005



INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS

APPLICANTS  
Michael D. Ellis and David M. Berezowski

FILING DATE  
March 29, 2001

GROUP ART  
UNIT  
2173

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Group 2100

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,275,648	08/14/01	Knudson et al.	386	83	
	6,208,799	03/27/01	Marsh et al.	386	83	
	6,141,488	10/31/00	Knudson et al.	386	83	
	5,805,763	09/08/98	Lawler et al.	386	83	
	5,619,274	04/08/97	Roop et al.	348	461	
	5,568,272	10/22/96	Levine	386	48	
	5,416,508	05/16/95	Sakuma et al.	348	3	
	5,353,121	10/04/94	Young et al.	348	563	
	5,323,234	06/21/94	Kawasaki	348	6	
	5,253,066	10/12/93	Vogel	358	188	
	5,210,611	05/11/93	Yee et al.	358	191.1	
	5,195,134	03/16/93	Inoue	380	20	
	5,151,789	09/29/92	Young	358	194.1	
	5,047,867	09/10/91	Strubbe et al.	358	335	
	5,038,211	08/06/91	Hallenbeck	358	142	
	4,977,455	12/11/90	Young	358	142	
	4,908,707	03/13/90	Kinghorn	358	147	
	4,706,121	11/10/87	Young	358	142	

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 93/23957	11/25/93	PCT				

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance with MPEP 609 and not considered. Include copy of this form with next communication to applicants.